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| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>  |  | ATTORNEY'S DOCKET NUMBER<br><b>LOMBARDI 04.01</b><br>U.S. APPLICATION No. (If known, see 37 CFR 1.5)<br><b>10/588039</b> |
| INTERNATIONAL APPLICATION NO.<br><b>PCT/US2005/003264</b>  | INTERNATIONAL FILING DATE<br><b>03 FEB 05 (03.02.05)</b> | PRIORITY DATE CLAIMED<br><b>04 FEB 04 (04.02.04)</b>   |
| TITLE OF INVENTION<br><b>LAPPING COMPOSITION AND METHOD USING SAME</b>   |  |  |
| APPLICANT(S) FOR DO/EO/US<br><b>LOMBARDI</b>   |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |  |  |
| 1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.<br>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.<br>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.<br>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).<br>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))<br>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).<br>b. <input type="checkbox"/> has been communicated by the International Bureau.<br>c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).<br>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).<br>a. <input type="checkbox"/> is attached hereto.<br>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).<br>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<br>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).<br>b. <input type="checkbox"/> have been communicated by the International Bureau.<br>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.<br>d. <input type="checkbox"/> have not been made and will not be made.<br>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).<br>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).<br>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).<br><b>Items 11 to 20 below concern document(s) or information included:</b><br>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.<br>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.<br>13. <input checked="" type="checkbox"/> A preliminary amendment.<br>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.<br>15. <input type="checkbox"/> A substitute specification.<br>16. <input type="checkbox"/> A power of attorney and/or change of address letter.<br>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.<br>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).<br>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

|  |                |   |         |   |  |
|--|----------------|---|---------|---|--|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5)<br><b>10/588039</b>  |                | INTERNATIONAL APPLICATION NO.<br><b>PCT/US2005/003264</b>                     |         | ATTORNEY'S DOCKET NUMBER<br><b>LOMBARDI 04.01</b>                       |  |
| 20. Other items or information:<br><br><div style="text-align: center; font-weight: bold; font-size: 1.2em;">Submission of Incomplete Application</div>  |                |   |         |   |  |
| The following fees have been submitted   |                |   |         | CALCULATIONS      PTO USE ONLY  |  |
| 21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300  |                |   |         | \$      300.00  |  |
| 22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))<br><br>If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0<br>All other situations.....\$200   |                |   |         | \$      200.00  |  |
| 23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))<br>If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0<br>Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100<br>International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400<br>All other situations.....\$500 |                |   |         | \$      500.00  |  |
| <b>TOTAL OF 21, 22 and 23 =</b>  |                |   |         | <b>1,000.00</b>   |  |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).<br>The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  |                |   |         | <div style="border: 1px solid black; width: 100%; height: 100%;"></div> |  |
| Total Sheets   | Extra Sheets   | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE    |   |  |
| - 100 =  | /50 =          |   | x \$250 |   |  |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).  |                |   |         | \$  |  |
| CLAIMS   | NUMBER FILED   | NUMBER EXTRA  | RATE    | \$  |  |
| Total claims   | 11      - 20 = |   | x \$ 50 | \$  |  |
| Independent claims   | 8      - 3 =   | 5   | x \$200 | \$ 1,000.00   |  |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)  |                |   | + \$360 | \$  |  |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |                |   |         | <b>\$ 2,000.00</b>  |  |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.  |                |   |         | <b>1,000.00</b>   |  |
| <b>SUBTOTAL =</b>  |                |   |         | <b>\$ 1,000.00</b>  |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  |                |   |         | \$  |  |
| <b>TOTAL NATIONAL FEE =</b>  |                |   |         | <b>\$ 1,000.00</b>  |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property   |                |   |         | \$  |  |
| <b>TOTAL FEES ENCLOSED =</b>   |                |   |         | <b>\$ 1,000.00</b>  |  |
|  |                |   |         | Amount to be refunded:      \$  |  |
|  |                |   |         | Amount to be charged      \$  |  |


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- AP23 Rec'd PCT/PTO 01 AUG 2006
- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 502262. A duplicate copy of this sheet is enclosed.
- d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

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Law Office of Dale F. Regelman, P.C.  
4231 S. Fremont Avenue  
Tucson, AZ 85714  
Telephone no. (520) 741-7636  
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SIGNATURE  
Dale F. Regelman  
NAME  
45,625  
REGISTRATION NUMBER

10/588039

Docket No.: LOMBARDI 04.01

AP20 Rec'd PCT/PTO 01 AUG 2006

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Mailing Label No. EV 883269245 US

Date of Deposit August 1, 2006

I hereby certify that this paper and the Transmittal Letter to the United States Designated/Elected Office, the papers listed thereon, Form PTO-2038, and return prepaid postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, and is addressed to MAIL STOP PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Signature of person mailing: \_\_\_\_\_

Name of person mailing: \_\_\_\_\_

  
Reena Mendez

10/588039

AP20 Rec'd PCT/PTO 01 AUG 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. Of: LOMBARDI  
Intl. Appln. No.: PCT/US2005/003264  
Intl. Filing Date: 03 FEBRUARY 2005 (03.02.05)  
Priority Date  
Claimed: 04 FEBRUARY 2005 (04.02.04)  
Serial Number: UNASSIGNED  
Filed: 01 AUGUST 2006 (01.08.06)  
For: LAPPING COMPOSITION AND METHOD USING SAME  
Group: UNASSIGNED  
Examiner: UNASSIGNED DOCKET: LOMBARDI 04.01

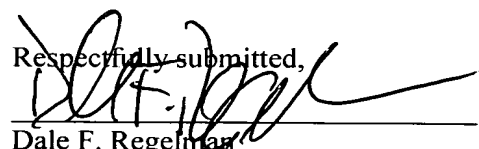
MAIL STOP PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

SUBMISSION OF INCOMPLETE APPLICATION

Dear Sir:

Submitted herewith is a transmittal letter and accompanying documents which are incomplete due to the omission of a Combined Declaration and Power of Attorney. The Applicant is John L. Lombardi, 831 N. Camino Miramonte, Tucson, Arizona 85716, an American citizen. Other details will be found on the transmittal letter.

Respectfully submitted,

  
Dale F. Regelman  
Attorney for Applicant  
Reg. No. 45,625

10/588039

1AP20 Rec'd PCT/10 01 AUG 2006

CERTIFICATE OF EXPRESS MAILING

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Name of person mailing Reena Mendez

Signature of person mailing 